# ON THE STRATIFICATION BY X-RANKS OF A LINEARLY NORMAL ELLIPTIC CURVE $X\subset \mathbb{P}^n$

#### EDOARDO BALLICO

ABSTRACT. Let  $X \subset \mathbb{P}^n$  be a linearly normal elliptic curve. For any  $P \in \mathbb{P}^n$  the X-rank of P is the minimal cardinality of a set  $S \subset X$  such that  $P \in \langle S \rangle$ . Here we give an almost complete description of the stratification of  $\mathbb{P}^n$  given by the X-rank.

Fix an integral and non-degenerate variety  $X \subset \mathbb{P}^n$ . For any  $P \in \mathbb{P}^n$  the Xrank  $r_X(P)$  of P is the minimal cardinality of a subset  $S \subset X$  such that  $P \in \langle S \rangle$ , where  $\langle \ \rangle$  denote the linear span. The X-rank is an extensively studied topic ([8], [5], [4] and references therein). In the applications one needs only the cases in which X is either a Veronese embedding of a projective space or a Segre embedding of a multiprojective space. We feel that the general case gives a treasure of new projective geometry. Up to now only for rational normal curves there is a complete description of the stratification of  $\mathbb{P}^n$  by X-rank ([7], [8], Theorem 5.1, [4]). Here we look at the case of elliptic linearly normal curves. For any integer  $t \geq 1$  let  $\sigma_t(Y)$  denote the closure in  $\mathbb{P}^n$  of all (t-1)-dimensional linear spaces spanned by t points of Y. Set  $\sigma_0(Y) = \emptyset$ . For any  $P \in \mathbb{P}^n$  the border X-rank  $b_X(P)$ is the minimal integer  $t \geq 1$  such that  $P \in \sigma_t(X)$ , i.e. the only positive integer t such that  $P \in \sigma_t(X) \setminus \sigma_{t-1}(X)$ . If (as always in this paper) Y is a curve, then  $\dim(\sigma_t(Y)) = \min\{n, 2t-1\}$  for all  $t \geq 1$  ([1], Remark 1.6). Notice that  $r_X(P) \geq b_X(P)$  and that equality holds at least on a non-empty open subset of  $\sigma_t(X) \setminus \sigma_{t-1}(X), t := b_X(P).$  Obviously  $b_X(P) = 1 \iff P \in X \iff r_X(P).$ Thus to compute all X-ranks it is sufficient to compute the X-ranks of all points of  $\mathbb{P}^n \setminus X$ . In this paper we compute it for the linearly normal elliptic curve. We prove the following result.

**Theorem 1.** Let  $X \subset \mathbb{P}^n$ ,  $n \geq 3$ , be a linearly normal elliptic curve. Fix  $P \in \mathbb{P}^n \setminus X$  and set  $w := b_X(P)$ . We have  $2 \leq w \leq \lfloor (n+2)/2 \rfloor$ . Assume  $n \geq 2w$ . Then either  $r_X(P) = w$  or  $r_X(P) = n + 1 - w$  and both cases occurs for some  $P \in \sigma_w(X) \setminus \sigma_{w-1}(X)$ .

The inequalities  $2 \le w \le \lfloor (n+2)/2 \rfloor$  in the statement of Theorem 1 are obvious ([1], Remark 1.6). The case w=2 and arbitrary n was settled in [4], Theorem 3.13. Theorem 1 leaves partially open the cases n=2w-1 and n=2w-2. If n=2w-1, then we may have  $r_X(P)=w$  and  $r_X(P)\ge w+1$  (see Propositions 3 and 2), but we are not able to rule out the case  $r_X(P)=w+2$ . If n=2w-2 we are in the dark. The case n=3 is contained in [9] (here we have  $r_X(P)\le 3$  and

 $<sup>1991\</sup> Mathematics\ Subject\ Classification.\ 14 N05.$ 

 $Key\ words\ and\ phrases.$  ranks; border ranks; linearly normal elliptic curve.

The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

in characteristic zero to get this inequality it is sufficient to quote [8], Proposition 4.1).

We work over an algebraically closed field  $\mathbb{K}$  such that  $\operatorname{char}(\mathbb{K}) = 0$ . This assumption is essential in our proofs, mainly to quote [6], Proposition 5.8, which is a very strong non-linear version of Bertini's theorem.

## 1. Preliminary Lemmas

In this paper an elliptic curve is a smooth and connected projective curve with genus 1.

The following lemma and its proof is just a reformulation of [2], Lemma 1.

**Lemma 1.** Let  $Y \subset \mathbb{P}^r$  be an integral variety. Fix any  $P \in \mathbb{P}^r$  and two zero-dimensional subschemes A, B of Y such that  $A \neq B$ ,  $P \in \langle A \rangle$ ,  $P \in \langle B \rangle$ ,  $P \notin \langle A' \rangle$  for any  $A' \subsetneq A$  and  $P \notin \langle B' \rangle$  for any  $B' \subsetneq B$ . Then  $h^1(\mathbb{P}^r, \mathcal{I}_{A \cup B}(1)) > 0$ .

Proof. Since A and B are zero-dimensional, we have the inequality  $h^1(\mathbb{P}^r, \mathcal{I}_{A \cup B}(1)) \geq \max\{h^1(\mathbb{P}^n, \mathcal{I}_A(1)), h^1(\mathbb{P}^r, \mathcal{I}_B(1))\}$ . Thus we may assume  $h^1(\mathbb{P}^r, \mathcal{I}_A(1)) = h^1(\mathbb{P}^r, \mathcal{I}_B(1)) = 0$ , i.e.  $\dim(\langle A \rangle) = \deg(A) - 1$  and  $\dim(\langle B \rangle) = \deg(B) - 1$ . Set  $D := A \cap B$  (scheme-theoretic intersection). Thus  $\deg(A \cup B) = \deg(A) + \deg(B) - \deg(D)$ . Since  $D \subseteq A$  and A is linearly independent, we have  $\dim(\langle D \rangle) = \deg(D) - 1$ . Since  $h^1(\mathbb{P}^r, \mathcal{I}_{A \cup B}(1)) > 0$  if and only if  $\dim(\langle A \cup B \rangle) \leq \deg(A \cup B) - 1$ , we get  $h^1(\mathbb{P}^r, \mathcal{I}_{A \cup B}(1)) > 0$  if and only if  $\langle D \rangle \subsetneq \langle A \rangle \cap \langle B \rangle$  Since  $A \neq B$ ,  $D \subsetneq A$ . Hence  $P \notin \langle D \rangle$ . Since  $P \in \langle A \rangle \cap \langle B \rangle$ , we are done.

**Notation 1.** Let  $C \subset \mathbb{P}^n$  be a smooth, connected and non-degenerate curve. Let  $\beta(C)$  be the maximal integer such that every zero-dimensional subscheme of C with degree at most  $\beta(C)$  is linearly independent.

**Proposition 1.** Fix an integer  $k \leq \lfloor \beta(C)/2 \rfloor$  and any  $P \in \sigma_k(C) \setminus \sigma_{k-1}(C)$ . Then there exists a unique zero-dimensional scheme  $Z \subset C$  such that  $\deg(Z) \leq k$  and  $P \in \langle Z \rangle$ . Moreover  $\deg(Z) = k$  and  $P \notin \langle Z' \rangle$  for all  $Z' \subsetneq Z$ .

*Proof.* The existence part is stated in [3], Lemma 1, which in turn is just an adaptation of some parts of the beautiful paper [5] ([5], Lemma 2.1.6) or of [4], Proposition 11. The uniqueness part is true by Lemma 1 and the definition of the integer  $\beta(C)$ .

**Remark 1.** Let  $X \subset \mathbb{P}^n$  be a linearly normal elliptic curve.

- (i) Since X is projectively normal, the cohomology of line bundles on X gives  $\beta(X) = n$  and that a zero-dimensional scheme  $Z \subset X$  such that  $\deg(Z) = n + 1$  is not linearly independent if and only if  $Z \in |\mathcal{O}_X(1)|$ .
- (ii) Fix zero-dimensional schemes  $A, B \subset X$  such that  $\deg(A) + \deg(B) = n+1$ . If  $\mathcal{O}_X(A+B) \neq \mathcal{O}_X(1)$ , then the degree n+1 divisor A+B (different from  $A \cup B$  if  $A \cap B \neq \emptyset$ ) is linearly independent and  $\langle A \rangle \cap \langle B \rangle = \langle A \cap B \rangle$  (scheme-theoretic intersection). Hence B does not evince  $r_X(P)$  for any  $P \in \langle A \rangle \cap \langle B \rangle$ , unless  $B \cap A = B$ , i.e.  $B \subseteq A$ . If  $\mathcal{O}_X(A+B) \cong \mathcal{O}_X(1)$ , then  $\dim(\langle A \rangle \cap \langle B \rangle) = \deg(A \cap B)$ .
- (iii) Fix zero-dimensional schemes  $A, B \subset X$  such that  $\deg(A) + \deg(B) \leq n$ , and  $\langle A \rangle \cap \langle B \rangle \neq \emptyset$ . Fix any  $P \in \langle A \rangle \cap \langle B \rangle$ . Since  $A \cup B$  is linearly dependent, Lemma 1 implies that at least one among the schemes A and B, say A, has a proper subscheme A' such that  $P \in \langle A' \rangle$ . Take as A' a minimal such subscheme. Thus  $P \notin A''$  for any  $A'' \subsetneq A'$ . Apply the same trick to A' and B. We get  $A' \subseteq B$ . At the end we get  $\langle A \rangle \cap \langle B \rangle = \langle A \cap B \rangle$ . Thus if B evinces  $r_X(P)$ , then  $B \subseteq A$ .

X-RANKS 3

Fix any non-degenerate variety  $X \subset \mathbb{P}^n$ . For any  $P \in \mathbb{P}^n$  let  $\mathcal{S}(X,P)$  denote the set of all  $S \subset X$  evincing  $r_X(P)$ , i.e. the set of all  $S \subset X$  such that  $\sharp(S) = r_X(P)$  and  $P \in \langle S \rangle$ . Notice that every  $S \in \mathcal{S}(X,P)$  is linearly independent and  $P \notin \langle S' \rangle$  for any  $S' \subsetneq S$ . Now assume that X is a linearly normal elliptic curve. Let  $\mathcal{Z}(X,P)$  denote the set of all zero-dimensional subschemes  $Z \subset X$  such that  $\deg(Z) = b_X(P)$  and  $P \in \langle Z \rangle$ . Lemma 3 below gives  $\mathcal{Z}(X,P) \neq \emptyset$ . Fix any  $Z \in \mathcal{Z}(X,P)$ . Notice that Z is linearly independent (i.e.  $\dim(\langle Z \rangle) = \deg(Z) - 1$ ) and  $P \notin \langle Z' \rangle$  for any subscheme  $Z' \subsetneq Z$ .

**Lemma 2.** Let  $X \subset \mathbb{P}^n$ ,  $n \geq 3$ , be a linearly normal elliptic curve. Fix  $P \in \mathbb{P}^n$ . Then either  $b_X(P) = r_X(P)$  or  $r_X(P) + b_X(P) \geq n + 1 - b_X(P)$ .

Proof. Assume  $b_X(P) < r_X(P)$ . Fix W evincing  $b_X(P)$  and S evincing  $r_X(P)$ . Assume  $\sharp(S) + \deg(W) \leq n$ . Thus  $S \cup W$  is linearly independent (Remark 1), i.e.  $\langle S \rangle \cap \langle W \rangle = \langle W \cap S \rangle$ . Since S is reduced, while W is not reduced,  $W \cap S \subsetneq W$ . Thus  $b_X(P) \leq \deg(W \cap S) < b_X(P)$ , a contradiction.

**Lemma 3.** Let  $X \subset \mathbb{P}^n$ ,  $n \geq 3$ , be a linearly normal elliptic curve. Fix a positive integer w such that  $2w \leq n+1$ . Fix  $P \in \mathbb{P}^n$  and assume the existence of a zero-dimensional scheme  $Z \subset X$  such that  $\deg(Z) = w$ ,  $P \in \langle Z \rangle$ , while  $P \notin \langle Z' \rangle$  for all  $Z' \subsetneq Z$ . Then  $b_X(P) = w$ .

*Proof.* Assume  $b_X(P) < w$  and take a scheme  $B \in \mathcal{Z}(X,P)$  (Proposition 1). Hence  $P \in \langle B \rangle$  and  $\deg(B) \leq w - 1$ . Since  $\deg(Z) + \deg(B) \leq n$ ,  $Z \cup B$  is linearly independent. Thus  $\langle Z \rangle \cap \langle B \rangle = \langle Z \cap B \rangle$ . We have  $P \in \langle Z \rangle \cap \langle B \rangle$ . Since  $\deg(B) < w$ , we have  $Z \cap B \subsetneq Z$ . Hence  $P \notin \langle Z \cap B \rangle$ , a contradiction. The converse part follows from Proposition 1, part (i) of Remark 3 and the inequality  $2w \leq n + 1$ . The last assertion follows from the first part using induction on the integer  $b_X(Q)$ .

### 2. Proofs and related results

**Proposition 2.** Fix an integer  $k \geq 1$ , a linearly normal elliptic curve  $C \subset \mathbb{P}^{2k+1}$  and  $P \in \mathbb{P}^{2k+1} \setminus \sigma_k(C)$ .

- (a) Either  $\sharp(\mathcal{Z}(C,P)) \leq 2$  or  $\mathcal{Z}(C,P)$  is infinite. We have  $Z_1 \cap Z_2 = \emptyset$  and  $\mathcal{O}_C(Z_1 + Z_2) \cong \mathcal{O}_C(1)$  for any  $Z_1, Z_2 \in \mathcal{Z}(C,P)$  such that  $Z_1 \neq Z_2$ .
  - (b) If  $\sharp(\mathcal{Z}(C,P)) \neq 2$ , then  $\mathcal{O}_C(2Z) \cong \mathcal{O}_C(1)$  for all  $Z \in \mathcal{Z}(C,P)$ .
- (c) If  $\mathcal{Z}(C,P)$  is infinite, then its positive-dimensional part  $\Gamma$  is irreducible and one-dimensional. Fix a general  $Z \in \Gamma$ . Either Z is reduced or there is an integer  $m \geq 2$  such that  $Z = mS_1$  for a reduced  $S_1 \subset C$  such that  $\sharp(S_1) = (k+1)/m$ .
  - (d) For general P we have  $\sharp(\mathcal{Z}(C,P))=2$ .

Proof. Since no non-degenerate curve is defective ([1], Remark 1.6), we have  $\sigma_{k+1}(C) = \mathbb{P}^{2k+1}$  and  $\dim(\sigma_k(C)) = 2k-1$ . Thus  $b_C(P) = k+1$ . Proposition 1 and part (i) of Remark 1 give  $\mathcal{Z}(C,P) \neq \emptyset$ . Fix  $Z_1, Z_2 \in \mathcal{Z}(C,P)$  such that  $Z_1 \neq Z_2$ . Part (ii) of Remark 1 gives  $\mathcal{O}_C(Z_1 + Z_2) \cong \mathcal{O}_C(1)$  and  $Z_1 \cap Z_2 = \emptyset$ , proving part (a).

of Remark 1 gives  $\mathcal{O}_C(Z_1+Z_2)\cong\mathcal{O}_C(1)$  and  $Z_1\cap Z_2=\emptyset$ , proving part (a). (i) Let  $J(C,\ldots,C)\subset C^{k+1}\times\mathbb{P}^{2k+1}$  be the abstract join of k+1 copies of C, i.e. the closure in  $C^{k+1}\times\mathbb{P}^{2k+1}$  of the set of all  $(P_1,\ldots,P_{k+1},P)$  such that  $P_i\neq P_j$  for all  $i\neq j,\,P_1,\ldots,P_{k+1}$  is linearly independent and  $P\in \langle \{P_1,\ldots,P_{k+1}\}\rangle$ . Since  $\sigma_{k+1}(C)=\mathbb{P}^{2k+1}$ , for general P the set  $\mathcal{Z}(C,P)$  is finite and its cardinality is the degree of the generically finite surjection  $J(C,\ldots,C)\to\mathbb{P}^{2k+1}$  induced by the projection  $C^{k+1}\times\mathbb{P}^{2k+1}\to\mathbb{P}^{2k+1}$ . Assume the existence of schemes  $Z_1,Z_2,Z_3\in\mathcal{Z}(C,P)$  such that  $Z_i\neq Z_j$  for all  $i\neq j$ . Part (a) gives  $Z_i\cap Z_j=\emptyset$  and  $\mathcal{O}_C(Z_i+1)$ 

- $Z_j) \cong \mathcal{O}_C(1)$  for all  $i \neq j$ . Taking i = 1 and  $j \in \{2,3\}$  we get  $\mathcal{O}_C(Z_2) \cong \mathcal{O}_C(Z_3)$ . By symmetry we get  $\mathcal{O}_C(Z) \cong \mathcal{O}_C(Z_1)$  for all  $Z \in \mathcal{Z}(C,P)$ . Since  $\mathcal{O}_C(Z_1 + Z_2) \cong \mathcal{O}_C(1)$ , we also get  $\mathcal{O}_C(2Z) \cong \mathcal{O}_C(1)$  for all  $Z \in \mathcal{Z}(C,P)$ .
- (ii) Now assume  $\sharp(Z(C,P))=1$ , say  $Z(X,P)=\{Z\}$ . Fix any  $E\in |\mathcal{O}_C(1)(-Z)|$ . Since E+Z is contained in a hyperplane, we have  $\langle Z\rangle\cap\langle E\rangle\neq\emptyset$ . Part (ii) of Remark 1 gives  $\dim(\langle Z\rangle\cap\langle E\rangle)=\deg(Z\cap E)$ . Set  $J:=\{(Q,E)\in\langle Z\rangle\times|\mathcal{O}_C(1)(-Z)|:Q\in\langle E\rangle\}$ . We just saw that J is a complete projective set. For dimensional reasons the projection of  $\langle Z\rangle\times|\mathcal{O}_C(1)(-Z)|$  into its first factor induces a dominant morphism  $u:J\to\langle Z\rangle$ . Since J is complete, there is  $E\in|\mathcal{O}_C(1)(-Z)|$  such that u(E)=Z. The uniqueness of Z gives E=Z. Thus  $2Z\in|\mathcal{O}_C(1)|$ . Since the set of all  $Z\subset X$  such that  $2Z\in|\mathcal{O}_C(1)|$  has dimension k+1, we get  $\sharp(Z(C,P))=2$  for a general P, proving part (d). Since this integer is the degree of a generically finite surjection  $\gamma:J(C,\ldots,C)\to\mathbb{P}^{2k+1}$  and  $\mathbb{P}^{2k+1}$  is a normal variety, either  $\sharp(Z(C,P))\leq 2$  or Z(C,P) is infinite.
- (iii) Now assume that  $\mathcal{Z}(C,P)$  is infinite. Since any two different elements of  $\mathcal{Z}(C,P)$  are disjoint (see step (i)), for a general  $A \in C$  there is at most one element of  $\Gamma$  containing A. Thus  $\dim(\Gamma) = 1$  and  $\Gamma$  is irreducible. Since a general point of C is contained in a unique element of  $\Gamma$ , the algebraic family  $\Gamma$  of effective divisors of C is a so-called *involution* ([6], §5). Since any two elements of  $\Gamma$  are disjoint, this involution has no base points. Let Z be a general element of  $\Gamma$ . Either Z is reduced or there is an integer  $m \geq 2$  such that Z = mS with S reduced ([6], Proposition 5.8), concluding the proof of part (c).

Proof of Theorem 1. For any integer k>0 such that  $\sigma_{k-1}(X)\neq \mathbb{P}^n$ , we have  $r_X(Q)=k$  for a general  $Q\in \sigma_k(X)$ . Thus for arbitrary  $w\leq \lfloor (n+2)/2\rfloor$  there are points P such that  $r_X(P)=b_X(P)=w$ . Fix  $w\leq n/2$ , P and W such that  $b_X(P)=w$ , and  $r_X(P)>w$ . Lemma 2 gives  $r_X(P)\geq n+1-w$ . Hence to prove Theorem 1 it is sufficient to prove  $r_X(P)=n+1-w$ . Fix  $W\in \mathcal{Z}(X,P)$ . Set  $\mathcal{B}:=\{Z+W\}_{Z\in |\mathcal{O}_X(1)(-2W)|}$ . Thus  $\mathcal{B}:=\{B\in |\mathcal{O}_X(1)(-W)|:W\subset B\}$ . Set  $\mathcal{S}:=\{Z\in |\mathcal{O}_X(1)(-W)|:P\in \langle Z\rangle\}$ . Since  $\deg(\mathcal{O}_X(1)(-W))=n+1-w\leq n$ , every element of  $|\mathcal{O}_X(1)(-W)|$  is linearly independent. However, in the definition of the set  $\mathcal{S}$  we did not prescribed that  $P\notin \langle Z'\rangle$  for all  $Z'\subsetneq Z$ . Thus  $\mathcal{B}\subseteq \mathcal{S}$ . Part (i) of Remark 1 and the inequality  $r_X(P)\geq n+1-w$  give that  $r_X(P)=n+1-w$  if and only if there is a reduced  $S\in \mathcal{S}$ .

(a) Here we prove that  $\mathcal{B} \neq \mathcal{S}$ . Fix a general subset  $E \subset X$  such that  $\sharp(E) = n-2w-1$ . Since n>2w+1, we have  $E\neq\emptyset$ . Thus for a general E the degree w line bundles  $\mathcal{O}_X(W)$  and  $\mathcal{O}_X(1)(-W-E)$  are not isomorphic. Thus to get  $\mathcal{B}\neq\mathcal{S}$  it is sufficient to prove the existence of a degree w zero-dimensional subscheme  $A_E$  of X such that  $E+A_E\in\mathcal{S}$ . Let  $\ell_{\langle E\rangle}:\mathbb{P}^n\setminus\langle E\rangle\to\mathbb{P}^{2w+1}$  denote the linear projection from  $\langle E\rangle$ . Call  $X_E\subset\mathbb{P}^{2w+1}$  the closure of  $\ell_{\langle E\rangle}|(X\setminus\langle E\rangle\cap X)$  in  $\mathbb{P}^{2w+1}$ . Since X is non-degenerate,  $X_E$  spans  $\mathbb{P}^{2w+1}$ . Since X is a smooth curve, the rational map  $\ell_{\langle E\rangle}|(X\setminus\langle E\rangle\cap X)$  extends to a surjective morphism  $\psi:X\to X_E$ . Since every degree n-2w+1 zero-dimensional subscheme of X is linearly normal, E is the scheme-theoretic intersection of X with  $\langle E\rangle$ . Thus  $\deg(X_E)\cdot\deg(\psi)=\deg(X)-\deg(E)=n+1-n+2w+1=2w+2$ . Hence  $\deg(X_E)=2w+2$  and  $\deg(\psi)=1$ . Since  $\deg(\psi)=1$ ,  $X_E$  and X are birational. Thus  $X_E$  is a linearly normal elliptic curve. Since X and  $X_E$  are smooth curves,  $\psi$  is an isomorphism. Since  $\langle E\rangle\cap X=E$  (as schemes), we have  $\psi^*(\mathcal{O}_{X_E}(1))\cong\mathcal{O}_X(1)(-E)$ . Set  $W':=\psi(W)$ . For general E we

X-RANKS 5

may assume  $E \cap W = \emptyset$ . Thus W' is a degree w subscheme of  $X_E$  isomorphic as an abstract scheme to W. Hence W' is not reduced. Fix  $W_1 \subsetneq W'$  and call  $W_2$  the only subscheme of W such that  $\psi(W_2) = W_1$ . Since W' is linearly independent,  $\ell_{\langle E \rangle}|\langle W \rangle \to \langle W' \rangle$  is an isomorphism. Since  $\ell_{\langle E \rangle}|W = \psi|W$  is an isomorphism onto W' and  $P \notin \langle W_2 \rangle$ , we get  $\ell_{\langle E \rangle}(P) \notin \langle W_1 \rangle$ . Since this is true for all  $W_1 \subsetneq W$ , Lemma 3 gives that W' evinces the border  $X_E$ -rank of the point  $\ell_{\langle E \rangle}(P)$ . Our choice of E implies  $\mathcal{O}_{X_E}(2W') \neq \mathcal{O}_{X_E}(1)$ . Hence part (b) of Proposition 2 gives the existence of a unique scheme  $A \subset X_E$  such that  $A \neq W'$  and  $\ell_{\langle E \rangle}(P) \in \langle A \rangle$ . Set  $A_E := \psi^{-1}(A)$ . Since  $E \cap W = \emptyset$  and  $\deg(A_E) = \deg(W)$ , to prove  $E + A_E \notin \mathbb{B}$  it is sufficient to prove  $A_E \neq W$ , i.e. (since  $\psi$  is an isomorphism)  $W' \neq A$ . We chosed  $A \neq W$ . Call X[n-2w-1] the set of all E for which  $E + A_E$  is defined.

(b) Let  $\Gamma \subseteq \mathcal{S}$  be any irreducible component of  $\mathcal{S}$  containing the irreducible algebraic family  $\{E+A_E\}_{E\in X[n-2w-1]}$  constructed in step (a). Let F be a general element of  $\Gamma$ . Remember that to prove  $r_X(P) = n + 1 - w$  it is sufficient to find a reduced  $S \in \Gamma$ .  $\Gamma$  is an irreducible algebraic family of divisors on X. We have dim( $\Gamma$ ) = n-2w-1. By construction for a general  $E \subset X$  such that  $\sharp(E)$ n-2w-1 there is  $B_E \in \Gamma$  such that  $E \subset B_E$ . For general E we have  $\langle E \rangle \cap \langle W \rangle = \emptyset$ . Since  $P \notin \langle E \rangle$ , the scheme  $\ell_{\langle E \rangle}(W)$  is isomorphic to  $W, P \in \langle \ell_{\langle E \rangle}(W) \rangle$  and  $P \notin \langle W' \rangle$  for any  $W' \subsetneq \ell_{\langle E \rangle}(W)$ . Lemma 2 gives  $\ell_{\langle E \rangle}(P) \notin \sigma_k(X_E)$  for general E. For general E the degree 2k+2 line bundles  $\mathcal{O}_X(2W)$  and  $\mathcal{O}_X(1)(-E)$  are not isomorphic. Thus part (b) of Proposition 2 applied to the curve  $X_E$ , the point  $\ell_{\langle E \rangle}(P)$  and the scheme  $Z := \ell_{\langle E \rangle}(W)$  gives that such a divisor  $B_E$  is unique. Thus  $\Gamma$  is an involution in the classical terminology ([6], §5). Assume for the moment that  $\Gamma$  has no fixed component. We get that either F is reduced (and hence parts (i) and (ii) of Theorem 1 are proved for P) or there is an integer  $m \geq 2$  such that each connected component of F appears with multiplicity m ([6], Proposition 5.8). Since  $F = E + A_E$  with E reduced and  $\sharp(E) > \deg(A_E)$  this is absurd. Hence we may assume that  $\Gamma$  has a base locus. Call D the base locus of  $\Gamma$ . Thus the irreducible algebraic family  $\Gamma(-D)$  of effective divisors of X has the same dimension and it is base point free. We have F = D + F' with F' general in  $\Gamma(-D)$ . Since  $\Gamma(-D)$  is an involution without base points and whose general member has at least one reduced connected component (a connected component of E), its general member F' is reduced ([6], Proposition 5.8). Since D has finite support and F' is general, we also have  $F' \cap D = \emptyset$ . Fix  $O \in D_{red}$ . We have  $O \notin \langle W \rangle$ , because  $\deg(W \cup \{O\}) = w + 1$ and every degree w + 1 subscheme of X is linearly independent. Let  $E_1$  be the union of O and n-2w-2 general point of X (if m=2w+2, then  $E_1=\{O\}$ ). Since  $O \notin \langle W \rangle$  and X is non-degenerate, we have  $\langle W \rangle \cap \langle E_1 \rangle = \emptyset$ . Thus the point  $\ell_{\langle E_1 \rangle}(P)$  is contained in the linear span of the degree w subscheme  $\ell_{\langle E_1 \rangle}(W)$ of the linearly normal elliptic curve  $X_{E_1} \subset \mathbb{P}^{2w+2}$ , but not in the linear span of any proper subscheme of it. Since any degree 2w+1 subscheme of  $X_{E_1}$  is linearly independent, we get  $b_{X_{E_1}}(\ell_{\langle E_1\rangle}(P)) = w+1$ . Since O is a base point of  $\Gamma$ , we also get a one-dimensional family  $\Gamma'$  of distinct degree w+1 subschemes of  $X_{E_1}$ such that  $\ell_{\langle E_1 \rangle}(P)$  is in the linear span of each of it. Part (a) of Proposition 2 gives that these schemes are pairwise disjoint. Hence deg(D) = 1 and  $D = \{O\}$ (as schemes). Since  $E + A_E$  has at least  $deg(E_1)$  points with multiplicity one, at least one connected component of the general element F' of  $\Gamma'$  is reduced. Since F' is a general element of the base point free involution  $\Gamma(-D)$ , F' is reduced ([6], Proposition 5.8). Since any degree n divisor of X is linearly independent, we have  $\langle E_1 \rangle \cap X = E_1$  (scheme-theoretic intersection). Since  $\Gamma'$  has no base points, we may also assume that  $F' \cap (X_{E_1} \setminus \ell_{\langle E_1 \rangle}(X \setminus E_1)) = \emptyset$ . Hence the counterimage F'' of F' in X is disjoint from  $E_1$ . Thus  $F'' \cup E_1$  is reduced. Since  $P \in \langle F'' \cup E_1 \rangle$ , we get  $r_X(P) \leq n + 1 - w$ .

A side remark. In the case  $n \geq 2w - 1$  we may even prove  $D = \emptyset$ . Indeed, assume  $D \neq \emptyset$  and fix  $O \in D_{red}$ . Since  $n - 2w \geq 1$ , in the previous construction we have  $E_1 \neq \emptyset$ . Since we may choose  $E_1$  general after fixing both W and O, we get  $\mathcal{O}_X(2W + O + E_1) \neq \mathcal{O}_X(1)$ , contradicting part (b) of Proposition 2.

**Proposition 3.** Fix an integer  $k \geq 1$  and a linearly normal elliptic curve  $X \subset \mathbb{P}^{2k+1}$ . Then there are  $Q, P \in \mathbb{P}^{2k+1}$  such that  $b_X(Q) = b_X(P) = r_X(Q) = k+1$  and  $r_X(P) \geq k+2$ . The set of all such points Q contains a non-empty open subset of  $\mathbb{P}^{2k+1}$ , while the set of all such points P contains a non-empty algebraic subset of codimension P of  $\mathbb{P}^{2k+1}$ .

*Proof.* Since  $\sigma_{k+1}(X) = \mathbb{P}^{2k+1}$ , while  $\dim(\sigma_k(X)) = 2k-1$  ([1], Remark 1.6), we may take as Q a general point of  $\mathbb{P}^{2k+1}$ . Now we prove the existence of points  $P \in \mathbb{P}^n$  such that  $r_X(P) > b_X(P) = k+1$  and that the set of all P such that  $b_X(P) = k+1 < r_X(P)$  contains a codimension 2 subset of  $\mathbb{P}^{2k+1}$ . Let  $\mathcal{U}$  be the set of all degree k+1 schemes  $Z_1 \subset X$  such that  $Z_1$  is unreduced and  $2Z_1 \notin |\mathcal{O}_X(1)|$ . The set  $\mathcal{U}$  is a quasi-projective integral variety of dimension k+1. Fix any  $Z_1 \in \mathcal{U}$ . Let  $\mathcal{V}(Z_1)$  denote the set of all unreduced  $Z_2 \in |\mathcal{O}_X(1)(-Z_1)|$  such that  $Z_2 \cap Z_1 = \emptyset$ . The set  $\mathcal{V}(Z_1)$  is a quasi-projective and integral variety of dimension k. Since  $Z_1 \cap Z_2 = \emptyset$ , Remark 1 shows that  $\langle Z_1 \rangle \cap \langle Z_2 \rangle$  is a single point, Q. If  $b_X(Q) = k+1$ , then  $\mathcal{Z}(X,Q) = \{Z_1, Z_2\}$ , because  $\mathcal{O}_X(2Z_1) \neq \mathcal{O}_X(1)$  (Part (b) of Proposition 2). Since neither  $Z_1$  nor  $Z_2$  is reduced, we get  $r_X(Q) > k + 1$ . Varying  $Z_2$  for a fixed  $Z_1$  the set of all points Q obtained in this way covers a non-empty open subset of an irreducible hypersurface of  $\langle Z_1 \rangle$ . Assume  $b_X(Q) \leq k$ . and fix  $W \in \mathcal{Z}(X,Q)$ . Notice that  $P \notin \langle W' \rangle$  for any  $W' \subsetneq W$ . Since  $\deg(W) + \deg(Z_1) \leq n$ , Lemma 1 and Remark 1 give the existence of  $Z' \subsetneq Z$  such that  $Q \in \langle Z' \rangle$ . Iterating the trick taking Z' and W instead of  $Z_1$  and W we get  $W \subseteq Z'$  and hence  $W \subset Z_1$  Making this construction using  $Z_2$  and W we get  $W \subsetneq Z_2$ . Since  $Z_1 \cap Z_2 = \emptyset$ , we obtained a contradiction.

# References

- [1] B. Ådlandsvik, Joins and higher secant varieties. Math. Scand. 62 (1987), 213–222.
- [2] E. Ballico and A. Bernardi, On the stratification of the fourth secant variety of Veronese variety via symmetric rank. arXiv:1005.3465v3 [math.AG].
- [3] E. Ballico and A. Bernardi, Minimal decomposition of binary forms with respect to tangential projections. arXiv:1007.2822v2 [math.AG].
- [4] A. Bernardi, A. Gimigliano and M. Idà, Computing symmetric rank for symmetric tensors,
  J. Symbolic. Comput. 46 (2011), 34–55..
- [5] J. Buczyński, A. Ginensky and J. M. Landsberg, Determinantal equations for secant varieties and the Eisenbud-Koh-Stillman conjecture. arXiv:1007.0192v4 [math.AG], J. London Math. Soc. (to appear).
- [6] L. Chiantini and C. Ciliberto, Weakly defective varieties. Trans. Amer. Math. Soc. 454 (2002), no. 1, 151–178.
- [7] G. Comas and M. Seiguer, On the rank of a binary form. Found Comput Math 11 (2011), no. 1, 65–78 DOI 10.1007/s10208-010-9077-x.
- [8] J. M. Landsberg and Z. Teitler, On the ranks and border ranks of symmetric tensors. Found. Comput. Math. 10 (2010) no. 3, 339–366..
- [9] R. Piene, Cuspidal projections of space curves. Math. Ann. 256 (1981), no. 1, 95–119.

X-RANKS 7

Dept. of Mathematics, University of Trento, 38123 Povo (TN), Italy  $E\text{-}mail\ address: ballico@science.unitn.it}$